2016-2017
Leadership Biographies
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Thomas Bates, chair of the Department of Finance, joined the faculty of the W. P. Carey School of Business as an associate professor and Dean’s Council of 100 Distinguished Scholar in 2009. Bates has taught corporate finance to students at the undergraduate, MBA, executive MBA, and PhD levels. He currently serves in a variety of roles at ASU, including as an advisor to the investment committee for the ASU Foundation.

Prior to his appointment at ASU, Bates was an associate professor and McCoy/Rogers Fellow at the University of Arizona and an assistant professor at both the University of Delaware and the University of Western Ontario. In practice, Bates has worked with companies and legal firms as an adviser on issues related to the valuation of companies, corporate governance, and compensation.

Bates is a regular contributor to academic literature in financial economics, publishing in journals such as the *Journal of Finance*, *Journal of Financial Economics*, *Journal of Financial and Quantitative Analysis*, and *Financial Management*. His work addresses a variety of issues, including the contracting environment in mergers and acquisitions, corporate liquidity and cash holdings, and the governance of corporations. He co-authored the textbooks *Fundamentals of Corporate Finance*, published in 2011, and the *Essentials of Corporate Finance*, published in 2013. He received a bachelor’s degree in economics from Guilford College and his doctorate in financial economics from the University of Pittsburgh.

[Link to finance degrees]

[Image of Thomas Bates]

*Thomas Bates*
Chair, Department of Finance
Associate Professor

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Joan Brett is associate dean of graduate programs at the W. P. Carey School of Business. In this role, she is responsible for all graduate programs offered by the school, including master's degrees in business administration, management, accountancy, taxation, information management, real estate development, and business analytics. From 2004 to 2012, she served as associate vice provost and was responsible for graduate strategic planning and management, including professional development programs for doctoral students, chairs, and directors.

Brett has consulted with many organizations on a range of issues from leadership development, feedback, and performance management to organizational change and structure. She has advised leaders in small businesses as well as large Fortune 500 companies and at all levels of management. As a thought partner, she assists executives in exploring ways for their leaders and associates to embrace organizational transitions, overcome resistance to change, and develop the skills needed for adaptive leadership.

Brett's research on motivation and performance issues has been published in leading academic journals and highlighted in The Wall Street Journal and the Harvard Business Review. Her recent research is on the advice-seeking behavior of upper echelon leaders. She serves on the editorial review boards of the Journal of Management and Group & Organization Management. Brett received her PhD from New York University in industrial/organizational psychology. She also holds two bachelor’s degrees from The Ohio State University.
Professor S. Trevis Certo serves as chair of the Department of Management and Entrepreneurship at the W. P. Carey School of Business, which consistently ranks as one of the top 10 management departments in the world in terms of research productivity. Certo studies how corporate governance structures and top management teams influence firm performance. His research explores these topics extensively in the context of firms undertaking initial public offerings. He also focuses on statistical techniques that help to isolate relationships of interest within large datasets.

His research has appeared in the top journals in the discipline, including the *Strategic Management Journal*, the *Academy of Management Journal*, and the *Academy of Management Review*. Certo’s research has been featured in publications such as *Businessweek*, *The New York Times*, *The Wall Street Journal*, *The Washington Post*, and *Money*. He has also consulted with a variety of organizational leaders in the area of strategic planning and serves on the editorial boards for the *Academy of Management Journal*, *Strategic Management Journal*, and *Academy of Management Learning & Education*.

Certo earned a PhD from Indiana University, an MBA from the University of Florida, and a bachelor’s degree from Rollins College.

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Timothy Desch is assistant dean for undergraduate admissions at the W. P. Carey School of Business, where he is responsible for the recruitment and admission of more than 4,000 new business undergraduates each year. The school's undergraduate program is consistently ranked top 30 in the nation by U.S. News & World Report.

Desch served previously as associate director, director, and dean of undergraduate admissions at Arizona State University and has served in various administrative capacities in higher education for 35 years. He served on the board of trustees for ACT Inc. from 2000 to 2003 and the ACT Education Advisory Board from 2004 to 2005.

Desch received a bachelor's degree in education from the University of Wisconsin-Eau Claire and a master's degree in higher education administration from the University of Wisconsin-La Crosse.

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In her role as senior associate dean for academic programs, Kay Faris guides and manages the mix of academic programs across five campuses, overseeing curriculum, delivery, and administration for undergraduate, graduate, online, and international programs. Through these programs, the W. P. Carey School of Business serves almost 15,000 students.

Prior to this role, Faris served as undergraduate dean for 11 years in the W. P. Carey School of Business, which enrolled over 9,000 students during that time. She oversaw 10 Bachelor of Science majors, a Bachelor of Arts degree with 17 concentrations, 10 certificates, and two business minors. She provided overall administration for all aspects of the program, including recruitment, student engagement, advising, curriculum development, and business career services. Additionally, she oversaw the accreditation process for the W. P. Carey School of Business.

Faris was born and raised in Indiana and received her PhD from Arizona State University, a master's degree from Bowling Green State University, and her bachelor's degree from Manchester University.
Professor Michael Goul serves as associate dean for faculty and research at the W. P. Carey School of Business. Among other duties, he works with the school's portfolio of research centers, and he is the lead on a cross-university Big Data and analytics research collaboration initiated by W. P. Carey School Dean Amy Hillman. Prior to this position, he served as chair of the Department of Information Systems, ranked top 20 in the nation by U.S. News & World Report for its undergraduate and graduate programs.

Goul helped develop and launch the school's Master of Science in Business Analytics degree, a collaboration between the Information Systems and Supply Chain Management Departments. He also helped develop and launch the online version of the school's highly successful Master of Science in Information Management program, which was ranked No. 3 in the nation by U.S. News & World Report. In addition, he administered the launch of the Bachelor of Science in Business Data Analytics degree in fall 2014.

Goul is passionate about how the concomitant explosion of Big Data, the shift to cloud computing, and the emergence of the mobile/social web does and will impact the global economy. His most recent research efforts are in the area of Big Data and data science governance. Goul is exploring the relationships between legal analytics and enterprise analytics governance. He has published over 100 articles and authored cases and conducted analytics research at companies, including the American Express Co., eBay Inc., Teradata Corp., and Intel Corp.

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Bin Gu is associate dean for China programs at the W. P. Carey School of Business. In his role, he oversees all aspects of the China programs, which include graduate programs designed for high-level executives and decision makers. He previously served as co-director for the DBA program in China.

Gu, also a professor in the Department of Information Systems, joined ASU in 2012. His research focuses on data analytics, online social media and social networks, IT business value, and IT governance. His work has appeared in leading academic journals, including *Management Science, MIS Quarterly, Information Systems Research, Journal of Management Information Systems, Production and Operations Management,* and the *Journal of Financial Service Research,* among others.

Gu was awarded the 2016 W. P. Carey Faculty Research Award and the 2014 and 2012 Emerald Citations of Excellence Awards.

He received his PhD and master’s degrees from the Wharton School at the University of Pennsylvania, and his bachelor’s degree from Shanghai Jiao Tong University.

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Professor Amy Hillman, dean of the W. P. Carey School of Business, is a world-renowned management expert, popular teacher, and noted researcher. She heads up one of the largest and highest-ranked business schools in the nation. The W. P. Carey School has more than 14,000 students and both undergraduate and graduate programs consistently ranked top 30 by U.S. News & World Report.

Hillman, also the Rusty Lyon Chair in Strategy at the school, joined ASU in June 2001 and previously served as chair of the Management Department at the W. P. Carey School, before becoming executive dean and then dean. She is an award-winning undergraduate and MBA teacher, who taught at the University of Western Ontario, Michigan State University, and Texas A&M University. In addition, she has spent several summers as a guest professor at the Institute for International Management at Johannes Kepler University in Austria. Prior to joining academia, Hillman was general manager of a retailing and manufacturing organization in the southwestern United States, and she still places heavy emphasis on entrepreneurship programs at the school.

Hillman’s areas of research interest include corporate political strategies, boards of directors, and corporate strategy. She is a former editor of the world’s No. 1 scholarly management journal in terms of citation impact, Academy of Management Review, as well as a former associate editor of Academy of Management Journal. Her research has been published in many leading journals, including Academy of Management Review, Strategic Management Journal, Organization Science, and the Journal of International Business Studies. She serves on the board of directors of publicly traded CDK Global and on the nonprofit boards of the Association to Advance Collegiate Schools of Business International, the accrediting body for business schools, and ASU’s Research Park. She has a PhD from Texas A&M University in strategic management and business and public policy, an MBA from the University of the Incarnate Word, and a bachelor’s degree from Trinity University.

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Professor Dennis Hoffman is director of Arizona State University’s Office of the University Economist, where he is responsible for projects that examine how ASU contributes to regional prosperity. His extensive experience with the state and local economies position him for this assignment, which includes defining and measuring the role of research universities in regional development, quantifying the value of education investments to the economic prosperity of a region, and measuring the impact of various fiscal initiatives on regional development. Hoffman is also a professor of economics, a Dean's Council Distinguished Scholar, director of the L. William Seidman Research Institute, and faculty director of the Center for Competitiveness and Prosperity Research at the W. P. Carey School of Business.

Hoffman joined the faculty of ASU in 1979, was promoted to associate professor in 1983, and professor in 1988. In addition to his academic appointment in the W. P. Carey School, Hoffman served as associate dean for graduate programs from 1998 to 2004 and associate dean for research from 2004 to 2008. He has received both teaching and research awards from ASU, including the Distinguished Faculty Research Award in 1992. He has held the title of Dean’s Council of 100 Distinguished Scholar at W. P. Carey School since 1996.

Hoffman has served as a trustee of the Arizona State Retirement System board from 2010-2016. He developed and maintains the ASU General Fund revenue-forecasting model used as the primary guide for budget development by the Governor's Budget Office each year since 1983 — serving eight governors over the period. He served as a visiting research scholar at the Federal Reserve Bank of St. Louis during the summer of 1996. His research has been supported by the National Science Foundation and the Herbert V. Prochnow Educational Foundation, and he received a Fulbright grant in 1993 to support a research assignment in Sweden at Lund University. In 1997, Hoffman was named Arizona Professor of the Year by the Carnegie Foundation for the Advancement of Teaching for his commitment to undergraduate education. He has published numerous academic articles and a book in the area of macroeconomics and econometrics. He is widely quoted in both print and broadcast media outlets for his expertise on economic and fiscal matters in Arizona and oversees research projects contracted between ASU and many Arizona businesses and governments in his role at the Seidman Institute.

seidmaninstitute.com
Steve Kaplan is the director of the School of Accountancy and the KPMG Professor of Accountancy at the W. P. Carey School of Business. Kaplan has over 35 years of experience at Arizona State University and is passionate about behavioral and ethical issues in decision-making involving accounting and tax information among managers, auditors, tax preparers, and financial statement users.

He is an active member of the American Accounting Association and has recently finished a three-year term on the council. Previously, he has served as the president of the Accounting, Behavior and Organizations Section of the AAA, and has been the editor of the section's journal, *Behavioral Research in Accounting*.

Additionally, Kaplan has published over 70 articles in industry-leading academic journals, including the *Journal of Business Ethics*, *Journal of Accounting and Public Policy*, *Business Ethics Quarterly*, *International Journal of Accounting Information Systems*, and *Behavioral Research in Accounting*. He is the recipient of a lifetime achievement award from the ABO Section. Twice a year he visits Monash University in Australia, helping accounting faculty and doctoral students develop accounting skills and methodology. Kaplan received his bachelor’s degree in accounting from Arizona State University, and his master’s in accountancy and PhD from the University of Illinois at Urbana-Champaign.

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Professor Mark Manfredo is director of the Morrison School of Agribusiness at the W. P. Carey School of Business. The school is located on Arizona State University's Polytechnic Campus where Manfredo also serves as associate dean for the school.

His research focuses on commodity price analysis, agribusiness risk management, and risk modeling with particular emphasis on futures and options markets and price forecasting. His work has appeared in many publications, including the *American Journal of Agricultural Economics, Journal of Agricultural and Resource Economics, Energy Economics, Agribusiness: An International Journal, Agricultural Finance Review, the Journal of Public Policy and Marketing*, and the *Journal of Supply Chain Management*. He is also a member of the board of directors for the Arizona Food Marketing Alliance.

In 2007, Manfredo received ASU's Faculty Achievement Award for Excellence in Teaching/Instruction: Teaching Performance as well as the Western Agricultural Economics Association Outstanding Undergraduate Teaching Award (less than 10 years). He was also named Teacher of the Year for the ASU Polytechnic Campus in 2002-2003.

Manfredo earned his PhD in agricultural economics from the University of Illinois at Urbana-Champaign.

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Anne Nguyen is assistant dean for support services at the W. P. Carey School of Business. She is responsible for oversight of the school's operations, human resources, information technology, facilities management, financial management, budgeting, and financial planning.

Nguyen's team helped facilitate the addition of McCord Hall to the W. P. Carey School of Business in 2013. The state-of-the-art, 129,000-square-foot building houses the graduate programs and includes classroom space, technologically advanced team study rooms, a career center, a lounge for Honors Academy students, outdoor assembly areas, and world-class conference facilities.

A native of Chicago, Nguyen received her bachelor's degree from Illinois State University and an MBA from DePaul University. She worked for the Hyatt Corporation before becoming the assistant controller for the Chicago Symphony Orchestra. When she moved to Arizona in 1992, she joined the internal audit staff at Arizona State University. In December 1993, she was appointed the senior business operations manager at the W. P. Carey School. Her title changed to director of fiscal and business services in 1998, reflecting the evolution of the position.

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Amy Ostrom is chair of the Department of Marketing at the W. P. Carey School of Business. She also has served as the research director for the Center for Services Leadership and as a member of the center's faculty network.

Ostrom's research focuses on services marketing, including the relationship between service and well-being known as transformative service, customer adoption and evaluation of technology-enabled services, and the customer's role in creating service outcomes. She has shared her service blueprinting expertise with firms ranging from startups to Fortune 100 companies in industries such as education, health care, insurance, transportation, energy, and technology. Her work has appeared in a number of journals, including the Journal of Marketing and the Journal of Service Research.

Ostrom was named the 2004 Arizona Professor of the Year by the Council for Advancement and Support of Education and the Carnegie Foundation for the Advancement of Teaching. She was also named the 2007 ASU Parents Association Professor of the Year and an ASU President's Professor in 2011.

She holds a PhD from Northwestern University and bachelor's degree from Arizona State University.
Michele Pfund is associate dean for undergraduate programs at the W. P. Carey School of Business. In her role, she oversees all aspects of the undergraduate program, which consistently ranks top 30 in the nation, according to U.S. News & World Report.

Under her leadership, more than 12,000 students work toward a wide variety of degrees, including 10 Bachelor of Science majors, a Bachelor of Arts degree in business with 18 concentrations, nine certificates, and two business minors. As undergraduate dean, Pfund provides overall administration for all aspects of the program, including recruitment, student engagement, advising, curriculum development, and business career services.

Pfund previously served as director of the undergraduate supply chain management program, as well as faculty director for the school's Online MBA program. Both of these programs are ranked top five in the nation in their categories by U.S. News & World Report. Pfund was also named "one of the five best online teachers in America" by OnlineSchools.com.

Pfund's research interests echo her professional experience in medical device manufacturing system design and pharmaceutical research-and-development portfolio management. She has been published in leading academic journals, such as the European Journal of Operational Research and the Journal of Scheduling. She is a co-author of the book Managing, Controlling, and Improving Quality and has contributed chapters to Evolution of Supply Chain Management: Symbiosis of Adaptive Value Networks and ICT, and Handbook of Production Scheduling. Pfund received her PhD from Arizona State University, master's degree from Purdue University, and bachelor's degree from Case Western Reserve University.

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In her role as senior director of development, Suzanne Rinker leads a comprehensive development program that supports the W. P. Carey School's fundraising priorities by securing philanthropic investments. Additionally, she works closely with Dean Amy Hillman and academic leaders to identify and address fundraising needs and opportunities.

She has over 12 years of experience in higher education, with the majority in the development sector. Prior to this role, she served as the senior director of development for The Ohio State University's college of arts and sciences. In her time at Ohio State, she collaborated with the divisions of natural and mathematical sciences and social and behavioral science, securing millions of dollars in gifts, pledges, and planned gifts, which included a gift that created the first chair in data analytics and the first professorship in chemical physics at Ohio State.

She previously served on the board of directors for the Junior League of Columbus and has been an active community volunteer. Rinker received her bachelor's degree and master's degree from Texas Tech University.

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Dale Rogers serves as the interim chair of the prestigious Department of Supply Chain Management and professor at the W. P. Carey School of Business. The department's undergraduate and graduate programs are both consistently ranked in the top 10 in the nation by *U.S. News & World Report*. He currently serves in a variety of roles at ASU, including being the co-founder of the Internet Edge Supply Chain Lab at ASU and the faculty director for the ASU Network for Value Chain Excellence.

Before joining ASU in 2014, he was a professor at both Rutgers University and the University of Nevada. Rogers is a noted researcher on topics, including reverse logistics and the secondary market, supply chain finance, product lifestyle logistics, and supply chain strategy, as well as a frequent speaker who has delivered more than 300 presentations to professional organizations. He serves as the leader in supply chain finance, sustainability, and reverse logistics practices at the Instituto de Logistica e Supply Chain in Brazil.

Rogers is a regular contributor to academic literature in supply chain management, and serves as an editor for the *Decision Science Journal*, *Rutgers Business Review*, *Journal of Business Logistics*, and *Journal of Supply Chain Management*. In 2012, he became the first academic to receive the International Warehouse and Logistics Association Distinguished Service Award in its 120 years of existence.

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Professor Raghu Santanam is chair of the Department of Information Systems at the W. P. Carey School of Business. The department's undergraduate and graduate programs are both ranked top 20 in the nation by *U.S. News & World Report*. Prior to joining ASU, he was a Henry Woodburn fellow at the State University of New York at Buffalo.

Santanam has been recognized for teaching excellence in both undergraduate and graduate programs with awards from the student Department of Information Systems Club and the W. P. Carey School. He is also one of the Dean's Council of 100 Distinguished Scholar. Santanam has consulted with a number of organizations on data intensive decision-making and has authored case studies on business transformations at Pinnacle West Capital Corp., Pearson, and Game Colab. Santanam has co-guest edited a number of special issues of peer-reviewed academic journals.

Santanam has also been an active researcher in the health information technology area for a number of years. He has published numerous scholarly articles on the impact of electronic medical records on hospitals, personal health records adoption by consumers, and technology-based decision support for public health. Santanam has been a visiting scientist at the Mayo Clinic since 2012.

He has served or currently serves on the editorial boards of four premier journals and is an advisory editor of the Elsevier series on *Handbooks in Information Systems*. He served as the program co-chair for Workshop on E-Business 2009 as well as for INFORMS Conference on Information Systems and Technology 2012.

Santanam received his PhD in management information systems as well as a master's degree in computer science from SUNY Buffalo, a master's degree in industrial management from the Indian Institute of Technology Madras, and a bachelor's degree in electronics and communications engineering from the University of Mysore.

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Wei Shen is associate dean for China programs at the W. P. Carey School of Business. In his role, he oversees all aspects of the China programs, which include graduate programs designed for high-level executives and decision makers. He previously served as co-director of the EMBA program in China.

Before joining ASU in 2010, he was an assistant professor at both the University of Florida and Rutgers University. Shen is a noted researcher on topics, including strategic leadership, corporate governance, organizational search, and research methodology. His research has appeared in leading publications, including Administrative Science Quarterly, Journal of Managerial Issues, and the Journal of Business Research.

He currently serves on the editorial boards of four premier journals, including the Academy of Management Review, Journal of Management and Governance, Management and Organization Review, and Strategic Management Journal. He has previously served as a senior research fellow at the SKOLKOVO Institute for Emerging Market Studies. Shen received his PhD from the Mays Business School at Texas A&M University and his bachelor’s degree in psychology from Peking University.

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Kim Steinmetz is chief external relations officer at the W. P. Carey School of Business. In her role, she leads the marketing, communications, alumni, and corporate relations departments at the top 30-ranked business school.

Steinmetz joined the W. P. Carey School in April 2013 with more than 20 years experience in marketing and communications. Prior to joining Arizona State University, she was vice president of marketing and communications at Thunderbird School of Global Management. She also spent a decade in the media industry, including radio, television, print publishing, and interactive media at Gannett Co. Inc., Hearst, The McClatchy Co., ABC Broadcasting Co., and the Walt Disney Co. She has led numerous strategic marketing and external relations initiatives, including award-winning web development projects, global alumni engagement campaigns, volunteer board restructuring, and rebranding of corporate entities. She has also conducted hundreds of research studies to better understand customers’ needs in improving product alignment to market expectations. She brings extensive experience in improving key business school performance metrics, including the quality of lead generation, conversion, and applications.

Steinmetz received her MBA from the W. P. Carey School and bachelor's degree in English with a concentration in art and art history from Cornell College. Her work has been recognized with awards from advertising clubs in Phoenix and Minneapolis, the American Marketing Association, the Interactive Media Awards, the National Newspaper Association, and the American Association of Chamber of Commerce Executives.

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As assistant dean for graduate programs at the W. P. Carey School of Business, Stephen Taylor oversees operations for all graduate business programs. In this role, he serves more than 1,500 students by focusing on the day-to-day business operations of the school's MBA and specialized master's programs, many of which are ranked top 30 in the nation by *U.S. News & World Report*.

Prior to joining ASU in 2013, Taylor held senior-level management roles at Harvard Business School, Linn-Benton Community College, and the Thunderbird School of Global Management. His professional experience is varied, with areas of focus in enrollment management, financial systems consulting, IT systems design and implementation, and business process analysis.

Taylor earned an MBA from Humboldt State University and studied English literature as a graduate student at Harvard University. He holds undergraduate degrees in general studies, computer science, and philosophy. He is a professional member of the Association for Computing Machinery and the Philosophy of Education Society and is a regular member of the American Philosophical Association.

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Professor Gustavo Ventura, chair of the Department of Economics, joined the faculty of the W. P. Carey School of Business as a professor in 2011.

Ventura is a macroeconomist, concentrating his research on topics such as taxation, economic development, and the determinants of inequality. He has published his academic work in leading publications in economics such as the *American Economic Review*, *The Review of Economic Studies*, and the *Journal of Monetary Economics*. He is currently an associate editor of the *Journal of Economic Dynamics and Control*.

Prior to joining ASU, Ventura was a faculty member at the University of Iowa, Pennsylvania State University, and the University of Western Ontario.

Ventura received his PhD in economics from the University of Illinois at Urbana-Champaign. He was born in Argentina.

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Joseph Van Orden is assistant dean of academic affairs at the W. P. Carey School of Business. He leads a team that processes all cases of academic dishonesty within the W. P. Carey School and oversees the school's accreditation process. Both roles involve maintaining, protecting, and advancing the value of a W. P. Carey degree.

Van Orden is also a clinical assistant professor in the Department of Supply Chain Management, teaching classes in logistics, operations management, quality, statistics, and supply chain management. He has published articles in health care operations and innovation. Additionally, he consults with companies designing supply chain solutions for their customers.

Van Orden received his bachelor's degree in accounting at Brigham Young University, becoming a certified internal auditor. After working in logistics for 10 years, he received his MBA from the University of New Mexico and his PhD in operations and supply chain management from the University of Utah.

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